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Attorney Docket No. HTFD-13B-JRC

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In Re Patent Application of: James J. Mallozzi

Serial No.:10/701,945

Group Art Unit: 3624

Filed: October 4, 2003

Examiner: Unassigned

For: **Financial System that Provides Investment Funds and A Death Benefit**

**Notice to File Corrected Application Papers**

Mail Stop Missing Parts  
Commissioner For Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir/Madam:

In accordance with the enclosed copy of the subject notice, and in accordance with 37 C.F.R. 1.52, 1.121 (b)(3) and 1.125, Applicant herewith submits a substitute specification, claims and abstract in 1 1/2 spaced format.

**IN THE SPECIFICATION**

The specific changes made are shown on the attached "Version showing changes." Entry of the substitute specification is requested. Also submitted is a clean copy in which the changes to the specification as shown on the "Version showing changes" have been entered.

**IN THE CLAIMS**

No changes to the claims have been made except to change spacing to 1 1/2 format. The list of claims follows: